



G.P. 1107

T-4

6-4-97

PATENT
PD-5385

#31A
8-18-97
B. Shultz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
HIROYUKI KINOSHITA ET AL.) ✓ ART GROUP UNIT: Unassigned
Serial No.: 08/808,315) ✓ Examiner: Unassigned
Filing Date: February 27, 1997) ✓
For: SAPPHIRE MONOCRYSTAL,) RECEIVED
SEMICONDUCTOR LASER) JUL 21 1997
DIODE USING THE SAME FOR) ✓
SUBSTRATE AND METHOD) GROUP 1100
FOR MANUFACTURING THE)
SAME)
)

PRELIMINARY AMENDMENT

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

Prior to examination, please amend the above-referenced application as follows:

IN THE SPECIFICATION:

Please change the title to read: -- SAPPHIRE SINGLE CRYSTAL,
SEMICONDUCTOR LASER DIODE USING THE SAME FOR SUBSTRATE, AND
METHOD FOR MANUFACTURING THE SAME --.



FORM PTO-1083

In re Application of Hiroyuki Konishita et al.
Serial No. 08/808,315

Case Docket No. PD-5385

Filed: February 27, 1997

Date: May 29, 1997

For: SAPPHIRE MONOCRYSTAL, SEMICONDUCTOR LASER DIODE USING THE SAME FOR
SUBSTRATE AND METHOD FOR MANUFACTURING THE SAME
COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment/response in the above-identified application.

- Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
- A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- No additional fee is required.
- Information Disclosure Statement

The fee has been calculated as shown below:

(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN A SMALL ENTITY
CLAIMS HIGHEST NO.				
REMAINING AFTER AMENDMENT	PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE OR RATE
TOTAL * 16	MINUS ** 20	= 0	x11 \$ OR	x22 \$
INDEP CLAIMS * 7	MINUS *** 7	= 0	x40 \$ OR	x80 \$
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+130 \$ OR	+260 \$
		TOTAL	\$ OR	TOTAL \$ 0

- * If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box on Col. 1 of a prior amendment or the number of claims originally filed.

- Please charge my Deposit Account No. 12-1820 the amount of \$ _____. A duplicate copy of this sheet is enclosed.
- A check in the amount of \$ _____ to cover the extension fee is enclosed.
- A check in the amount of \$ _____ to cover the filing fee is enclosed.
- A check in the amount of \$230.00 to cover the Information Disclosure Statement.
- A check in the amount of \$130.00 to cover the Information Disclosure Statement.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-1820. A duplicate copy of this sheet is enclosed.
- Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Alex chartove, 31,942

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